AN F. AND M. RIESZ THEOREM FOR COMPACT LIE GROUPS

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1. Introduction.

This note is a sequel to [B1], where the author proved an F. and M. Riesz-type theorem for compact groups whose center contains a circle group $T = \{e^{i\theta}: \theta \in (-\pi, \pi]\}$.

Here we will use the techniques of [B1] to prove an F. and M. Riesz theorem for compact Lie groups G. The main idea is to let the rôle of the circle group in [B1] be taken by an abelian connected subgroup of G, for example a Cartan subgroup.

The main difference between the resulting F. and M. Riesz theorem, theorem I below, and the one of [B1] is the following. In the present situation it no longer suffices to impose conditions only on the support of the Fourier transform of a measure μ to be able to conclude that μ is absolutely continuous with respect to the Haar measure on G. If we denote the Fourier transform by $\hat{\mu}$ then one also needs a condition on the orthogonal complement of $\ker \hat{\mu}(\tau)$ for τ in supp $\hat{\mu}$. Something similar occurs in an F. and M. Riesz theorem for the Heisenberg group proved by the author in [B2]. Nevertheless, the F. and M. Riesz theorem of [B1] can be considered as a special case of the one proved here.

An interesting corollary of theorem I is the following. If G is semisimple and if μ is a measure on G such that for all irreducible unitary representations τ of G, $\hat{\mu}(\tau) = 0$ on the orthogonal complement of the highest weight subspace of τ , then μ is absolutely continuous with respect to Haar measure. See theorem II below.

2.

Let G be a compact Lie group with Lie algebra g. Let \mathfrak{h} be an abelian subalgebra of g and let T be the analytic subgroup of G associated with \mathfrak{h} . For

^{*} Supported by the Netherlands organization for the advancement of pure research (ZWO). Received October 27, 1987.

example, h could be the center of g or h could be a Cartan subalgebra of g in which case T is a maximal torus in G.

Let \hat{G} , the dual of G, be a maximal set of pairwise inequivalent irreducible unitary representations of G. If $\tau \in \hat{G}$ we let $V(\tau)$ denote the representation space of τ ; $N(\tau) := \dim_{\mathbb{C}} V(\tau)$.

Let $\tau \in G$ and let $d\tau: g \to \operatorname{End}(V(\tau))$ be the differential of τ at e. Write $V = V(\tau)$ and let

$$(1) V = \sum_{\lambda \in (6^C)'} V_{\lambda}$$

be the weight space decomposition of τ with respect to \mathfrak{h} . Here $\mathfrak{h}^{\mathbb{C}} = \mathfrak{h} \otimes_{\mathbb{R}} \mathbb{C}$, the complexified algebra and $(\mathfrak{h}^{\mathbb{C}})' = \text{complex}$ dual of $\mathfrak{h}^{\mathbb{C}}$. Recall that (1) means that if $v \in V_{\lambda}$ then for all $H \in \mathfrak{h}$:

(2)
$$d\tau(H)v = \lambda(H)v.$$

Let $W(\tau) := \{\lambda \in (\mathfrak{h}^{\mathsf{C}})' \colon V_{\lambda} \neq 0\}, \ V = V(\tau)$, be the set of weights of τ with respect to \mathfrak{h} . The weight space V_{λ} , $\lambda \in W(\tau)$, are pairwise orthogonal. Since τ is unitary each $\lambda(H)$ is imaginary for all $H \in \mathfrak{h}$, $\lambda \in W(\tau)$. It follows from (2) that τ (exp H) $v = e^{\lambda(H)}v$. In particular, each $\lambda \in W(\tau)$ is analytically integral: exp H = 1 implies that $\lambda(H) \in 2\pi i \mathbb{Z}$.

Let $\Lambda_a \subseteq i\mathfrak{h}'$, $\mathfrak{h}' = \text{real}$ dual of \mathfrak{h} , denote the lattice of analytically integral functionals. \hat{T} , the dual of T, can be identified with Λ_a via the map

$$\Lambda_a \in \lambda \to \chi_\lambda \in \hat{T}, \quad \chi_\lambda(\exp H) := e^{\lambda(H)}.$$

Denote by M(G) the space of finite Borel measures on G; dg and dt will be the normalized Haar measures on G and T, respectively. If $\mu \in M(G)$, $\hat{\mu}$ will denote the Fourier transform of μ :

$$\hat{\mu}(\tau) = \int_G {}^t \tau(g^{-1}) \, dg, \quad \tau \in \hat{G};$$

the upper index t means taking the transpose. If $f \in L^1(G, dg) = L^1(G)$, $\hat{f} := (f dg)^{\wedge}$. Let $\mathfrak{T}(G)$ denote the space of trigonometric polynomials on $G: \mathfrak{T}(G) = \{f \in L^1(G): \hat{f}(\tau) = 0 \text{ for all but finitely many } \tau \in \hat{G}\}$. We use similar notions and notations for T.

3.

We will consider subsets E of Λ_a which satisfy the following condition: For some p < 1 the linear functionals

(*)
$$f \to \hat{f}(\lambda) = \int_{T} f(t) \chi_{\lambda}(t^{-1}) dt, \quad \lambda \in E,$$

are L^p-continuous on $\mathfrak{T}_E(T)$:= $\{f \in \mathfrak{T}(T): \hat{f}(\lambda) = 0 \text{ if } \lambda \notin E\}$.

EXAMPLE. Choose a basis of Λ_a over Z so that Λ_a is identified with Z', $r = \dim_R \mathfrak{h}$, and T with T'. Let $\pi_j \colon Z' \to Z$ denote the projection onto the j-th coordinate. Suppose that $E \subseteq \Lambda_a = Z'$ satisfies the following condition:

$$\forall k, 0 \le k < r: \quad \forall (m_1, \dots, m_k) \in \mathbf{Z}^k:$$

$$(**) \qquad \qquad \pi_{k+1}((\pi_1 \times \dots \times \pi_k)^{-1}(m_1, \dots, m_k) \cap E) \subseteq \mathbf{Z}^k$$

is bounded from above or from below.

Then E satisfies condition (*). For r = 2 this was noted by Aleksandrov [A, appendix, theorem 2]. It is a matter of routine to extend Aleksandrov's argument to larger r; cf. [B2, section 3] where this is done for R^n .

4.

Let $P_{\tau, \lambda}$ denote the orthogonal projection of $V = V(\tau)$ onto V_{λ} , $\lambda \in W(\tau)$. Our F. and M. Riesz theorem for G is the following result:

THEOREM I. Let $E \subseteq \Lambda_a$ satisfy condition (*) in section 3 for some p < 1. Let $\Delta \subseteq \hat{G}$ and suppose that to each $\tau \in \Delta$ there is associated a subset $\Gamma(\tau) \subseteq W(\tau)$ such that

(i)
$$\forall \lambda \in E$$
: the set $\{\tau \in \Delta : \lambda \in \Gamma(\tau)\}$ is finite,

(ii)
$$\forall \tau \in \Delta : \quad \Gamma(\tau) \subseteq E.$$

Let μ in M(G) be such that $\hat{\mu}(\tau) = 0$ for $\tau \notin \Delta$ and such that for $\tau \in \Delta$,

(iii)
$$\hat{\mu}(\tau) \circ P_{\tau, \lambda} = 0 \quad \text{if } \lambda \notin \Gamma(\tau).$$

Then μ is absolutely continuous with respect to the Haar measure dg.

REMARKS. (1) Condition (iii) may be reformulated in the following way: for all $\tau \in \Delta$,

$$\sum_{\lambda \notin \Gamma(\tau)} V_{\lambda} \subseteq \operatorname{Ker} \hat{\mu}(\tau) \quad (V = V(\tau) \text{ again})$$

or equivalently, if W^{\perp} denotes the orthogonal complement of a subspace W of V,

$$(\operatorname{Ker} \hat{\mu}(\tau))^{\perp} \subseteq \sum_{\lambda \in \Gamma(\tau)} V_{\lambda}.$$

(2) Theorem 3.2 of [B1] is contained in theorem I: take \mathfrak{h} equal to a one-dimensional subalgebra of the center $Z(\mathfrak{g})$ of \mathfrak{g} . Then $T = T \subseteq Z(G)$ and by Schur's lemma there exists for each $\tau \in \hat{G}$ an $n(\tau) \in Z$ such that $W(\tau) = \{ \operatorname{in}(\tau) \}$. Now take for E a subset of Z which is bounded from below or from above.

PROOF OF THEOREM I. Let $X_{\mu} := \{ f * \mu : f \in \mathfrak{T}(G) \}$ and let X_{μ}^{p} denote the closure of X_{μ} in $L^{p}(G) = L^{p}(G, dg)$, p < 1 as in theorem I. To prove that μ is absolutely

continuous with respect to dg it suffices, by [B1, theorem 2.7] to show that $X^p_{\mu} \cap \mathfrak{T}(G)$ has sufficiently many L^p -continuous linear functionals to separate its points.

Introduce the auxiliary spaces

(3)
$$\mathfrak{T}_{\tau}(G) = \operatorname{span} \left\{ (\tau(\cdot)v, w) : v, w \in V(\tau) \right\},$$

$$\mathfrak{T}_{\tau, \lambda}(G) = \operatorname{span} \left\{ (\tau(\cdot)v, w) : v \in V_{\lambda}, w \in V = V(\tau) \right\}, \quad \tau \in \hat{G}, \lambda \in W(\tau).$$

The spaces $\mathfrak{T}_{\tau,\lambda}(G)$, $\lambda \in W(\tau)$, are pairwise orthogonal in $L^2(G,dg)$ and together they span $\mathfrak{T}_{\tau}(G)$. It is easily seen that

$$\mathfrak{T}_{\tau,\lambda}(G) = \{ f \in \mathfrak{T}_{\tau}(G) : f(gt) = \chi_{\lambda}(t) f(g), \forall g \in G, t \in T \}.$$

We claim that the hypotheses on μ in theorem I imply that

(5)
$$X_{\mu} \subseteq \sum_{\tau \in A} \sum_{\lambda \in \Gamma(\tau)} \mathfrak{T}_{\tau,\lambda}(G).$$

To prove (5), let $\phi := f * \mu \in X_{\mu}$. Then $\hat{\phi}(\tau) = 0$ if $\tau \notin \Delta$ and $\hat{\phi}(\tau) \circ P_{\tau, \lambda} = 0$ if $\tau \in \Delta$, $\lambda \notin \Gamma(\tau)$. For each $\tau \in \Delta$ let $\{e_1^{\tau}, \ldots, e_{N(\tau)}^{\tau}\}$ be an orthonormal basis of $V = V(\tau)$ such that each e_j^{τ} is in some V_{λ} . Denote by $\tau_{jk}(g)$ and $\hat{\phi}(\tau)_{jk}$ the matrix elements of $\tau(g)$ and $\hat{\phi}(\tau)$ with respect to this basis. Then

$$\phi(g) = \sum_{\tau \in \Delta} N(\tau) \cdot \sum_{j,k} \hat{\phi}(\tau)))_{jk} \tau_{jk}(g)$$

and $\hat{\phi}(\tau)_{jk} = (\hat{\phi}(\tau)e_k^{\tau}, e_j^{\tau}) = 0$ unless $e_k^{\tau} \in V_{\lambda}$ with $\lambda \in \Gamma(\tau)$. This implies (5), by definition of $\mathfrak{T}_{\tau, \lambda}(G)$.

Denote the space on the right hand side of (5) by Y. It now suffices to show that the following two statements hold:

(6)
$$Y^p \cap \mathfrak{T}(G) = Y$$
, where $Y^p = \text{closure of } Y \text{ in } L^p(G, dg)$;

(7) Y has sufficiently many L^p -continuous linear functionals to separate it points.

Let $Q_{\tau,\lambda}$ denote the orthogonal projection of $L^2(G)$ onto $\mathfrak{T}_{\tau,\lambda}(G)$. For $\lambda \in \Lambda_a$ define $\Pi_{\lambda} : \mathfrak{T}(G) \to \mathfrak{T}(G)$ by

(8)
$$\Pi_{\lambda} f(g) := \int_{T} f(gt) \chi_{\lambda}(t^{-1}) dt.$$

Note that for f in $\mathfrak{T}(G)$:

(9)
$$\Pi_{\lambda} f = \sum_{\mathbf{r} \in \widehat{\mathbf{G}}: \lambda \in W(\mathbf{r})} Q_{\tau, \lambda} f.$$

If f is in Y the sum in (9) is over $\{\tau \in \Delta : \lambda \in \Gamma(\tau)\}$.

Let $f \in Y$. Since $\Gamma(\tau) \subset E$ for all $\tau \in \Delta$, the functions $t \to f(gt)$, $g \in G$ fixed, are in

 $\mathfrak{T}_E(T)$. Since E satisfies condition (*) in section 3 for p < 1,

$$|\Pi_{\lambda}f(g)|^p \leq C(\lambda, p) \int_T |f(gt)|^p dt, \quad \forall g \in G.$$

Integration over G yields the inequality

$$\|\Pi_{\lambda}f\|_{p} \leq C(\lambda,p)\|f\|_{p}, \ \lambda \in E, f \in Y.$$

Furthermore, Π_{λ} projects Y onto the subspace

$$\sum_{\tau \in \Delta: \; \lambda \in \Gamma(\tau)} \mathfrak{T}_{\tau, \; \lambda}(G)$$

of $\mathfrak{T}(G)$, which is finite dimensional by condition (i).

As in [B1] one now easily shows that (6) holds and that the linear functionals

$$f \to Q_{\tau,\lambda} f(g), \quad \tau \in \Delta, \lambda \in \Gamma(\tau), g \in G,$$

are all L^p -continuous on Y, which proves (7) and thereby the theorem.

5. Example.

We take a new look at the example of the unit sphere $S \subseteq \mathbb{C}^2$ which was also considered in [B1]. In [B1] S was identified with $\mathcal{U}(2)/\mathcal{U}(1)$, $\mathcal{U}(n)$ being the unitary group and the F. and M. Riesz theorem of [B1], applied to $\mathcal{U}(2)$, gave an F. and M. Riesz theorem for S; cf. [B1, theorem 1.1].

Here we identify S with $\mathcal{S}\mathcal{U}(2)$ via

$$(\alpha, \beta) \in S \to \begin{pmatrix} \alpha & -\overline{\beta} \\ \beta & \overline{\alpha} \end{pmatrix} \in \mathcal{S}\mathcal{U}(2)$$

One can take $\mathscr{SU}(2)^{\wedge} = \{\tau^l : l = 0, 1/2, 1, 3/2, ...\}$, where the representation τ^l acts on the space $V(l) := \{F(X, Y): F \text{ homogeneous polynomial in } X, Y \text{ of degree } 2l\}$ by

$$\left(\tau^{l}\begin{pmatrix}\alpha & -\bar{\beta}\\ \bar{\beta} & \bar{\alpha}\end{pmatrix}F\right)(X,Y) = F(\bar{\alpha}X + \bar{\beta}Y, -\beta X + \alpha Y).$$

Take $T = \{t_{\theta} = \operatorname{diag}(e^{-i\theta}, e^{i\theta}): \theta \in (-\pi, \pi]\}$. A basis of V(l) is given by

$$e_i^l(X,Y) = X^{l+j}Y^{l-j}, \quad j = -l, -l+1, ..., l-1, l.$$

Since $\tau^l(t_\theta)e_j^l=e^{2ij\theta}e_j^l$, we can identify $W(\tau^l)$ with $\{-2l,-2l+2,...,2l-2,2l\}$. One can now apply theorem I with $\Delta=\mathcal{S}\mathcal{U}(2)^{\Lambda}$, $E\subset\hat{T}=Z$ a subset which is bounded from above or from below and $\Gamma(\tau^l)\subset W(\tau^l)$ such that $\forall k\in Z$: $\{l:k\in\Gamma(\tau^l)\}$ is finite. The reader may check that such an application of theorem

I again yields theorem 1.1 of [B1], in view of the equality

$$H(l-k, l+k) = \mathfrak{T}_{r^{l-k}}(\mathscr{S}\mathscr{U}(2))$$

(see [B1] or [R] for the definition of the spaces H(p,q)).

6.

Note that in the above example the special choice $E = \mathbb{N}$, $\Gamma(\tau^l) = \{2l\}$ is allowed. This is a special instance of a more general theorem.

Let G be a semisimple compact connected linear Lie group. Let h be a Cartan subalgebra of g. Introduce a lexicographic ordering on ih' with respect to some arbitrary but fixed basis of ih' (cf. [K, chapter IV, section 3]). Let Δ^+ denote the set of positive roots with respect to this ordering. Finally, let \langle , \rangle denote the real inner product on ih' induced by the trace form.

THEOREM II. Let $\mu \in M(G)$ be such that for all $\tau \in \hat{G}$, $\hat{\mu}(\tau)v = 0$ if v is orthogonal to the highest weight subspace of τ . Then μ is absolutely continuous with respect to the Haar measure.

PROOF. Recall the theorem of the highest weight (cf. [K, theorem 4.28]): The map which sends $\tau \in \hat{G}$ to the highest weight in $W(\tau)$ is a bijection from G onto the set of dominant analytically integral linear functionals. Here $\lambda \in i\mathfrak{h}'$ is called dominant if $\langle \lambda, \alpha \rangle \geq 0$ for all $\alpha \in \Delta^+$. Actually, we will only need to know that this map is into the set of dominant functionals in Λ_a and injective.

First suppose that G is simply connected. Then Λ_a is equal to the lattice L_a of algebraically integral forms ([K, theorem 4.28]; see [K, chapter IV, section 5] for the definition of L_a). We now apply theorem I with $\Delta = \hat{G}$, $\Gamma(\tau) = \{\text{heighest weight in } W(\tau)\}$ and $E = \{\lambda \in \Lambda_a: \lambda \text{ dominant}\}$. Then (i) and (ii) of theorem I are satisfied. We now show that E satisfies condition (*) in section 3.

Let $\{\alpha_1, \ldots, \alpha_l\}$, $l = \dim \mathfrak{h}$, be the set of simple roots in Δ^+ . Let $\{\lambda_1, \ldots, \lambda_l\}$ be the dual basis defined by $2 < \lambda_i, \alpha_j > /|\alpha_j|^2 = \delta_{ij}$. Then $\{\lambda_1, \ldots, \lambda_l\}$ is a Z-basis of L_a and if $\lambda = \sum m_i \lambda_i \in L_a$ is dominant, then $m_i = 2 < \lambda, \alpha_i > /|\alpha_i|^2 \ge 0$. This shows that $\Lambda_a = L_a$ has a Z-basis $\{\lambda_1, \ldots, \lambda_l\}$ such that E satisfies condition (**) of the example in section 3 and we are done.

The general case can be reduced to the previous one: the universal covering group of a semisimple compact Lie group is again compact and semisimple by Weyl's theorem, cf. [K, theorem 4.26].

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