# GEOMETRIC ASPECTS OF THE TOMITA-TAKESAKI THEORY II

### UFFE HAAGERUP and CHRISTIAN F. SKAU

### Introduction.

In the present paper we will study some problems, which grew out of the second author's work reported in the paper [6]. As in [6] we consider a  $\sigma$ -finite von Neumann algebra M on standard form  $(M, H, J, P^{\natural})$  in the sense of [3]. To each cyclic and separating vector  $\xi \in P$  are associated two cones

$$P_z^* = (M_+ \xi)^-$$
 and  $P_z^b = (M'_+ \xi)^-$ .

Since  $P_{\xi}^{\flat} = J(P_{\xi}^{\sharp})$ ,  $P_{\xi}^{\flat}$  is the reflected image of  $P_{\xi}^{\sharp}$  with respect to the "selfadjoint" part  $H^{\natural}$  of the Hilbert Space H,

$$H^{\mathfrak{p}} = \{ \zeta \in H \mid J\zeta = \zeta \} = P^{\mathfrak{p}} - P^{\mathfrak{p}}.$$

We shall study the orthogonal projected image  $Q_{\xi}$  of  $P_{\xi}^{\sharp}$  onto the real subspace  $H^{\natural}$ :

$$Q_{\xi} = \frac{1}{2}(1+J)P_{\xi}^{*} = \frac{1}{2}(1+J)P_{\xi}^{\flat}$$
.

It turns out that  $Q_{\xi}$  is a closed cone in  $H^{\natural}$ , and that  $P^{\natural} \subseteq Q_{\xi}$  for any choice of  $\xi$ . Moreover  $Q_{\xi} = P^{\natural}$  if and only if  $\xi$  is a trace vector for M. Our main result is: If M is a factor not a type  $\text{III}_1$ , and  $\xi, \eta \in P^{\natural}$  are cyclic and separating for M, then  $Q_{\xi} = Q_{\eta}$  if and only if

- 1)  $\eta = \lambda \xi$ ,  $\lambda \in \mathbb{R}_+$  or
- 2) M is finite, and  $\eta = \lambda \xi^{-1}$  for a  $\lambda \in \mathbb{R}_+$ .

Case 2) should be understood in the following way: When M is a finite factor, we may identify H with  $L^2(M,\tau)$  and  $P^{\natural}$  with  $L^2(M,\tau)_+$ , where  $\tau$  is the normalized trace on M. Doing this  $\xi, \eta$  become positive, injective, selfadjoint operators affiliated with M, and the equation  $\eta = \lambda \xi^{-1}$  makes sense.

Using [6, § 3] the above statement may also be expressed:

If M is a factor not of type III<sub>1</sub>, and  $\xi, \eta \in P^{\sharp}$  are cyclic and separating for M then  $Q_{\xi} = Q_{\eta}$  if and only if 1)  $P_{\xi}^{\sharp} = P_{\eta}^{\sharp}$  or 2)  $P_{\xi}^{\sharp} = P_{\eta}^{\flat}$ .

Received May 21, 1980.

A crucial step in the proof is to show, that  $Q_{\xi} = Q_{\eta}$  implies that the centralizers  $M_{\varphi}$  and  $M_{\psi}$  for the vector functionals  $\varphi = \omega_{\xi}$  and  $\psi = \omega_{\eta}$  are equal. For factors of type III<sub>1</sub>, the centralizer  $M_{\varphi}$  gives little information about the functional  $\varphi$ , and that is the reason why our proof fails in this case. However, we are strongly convinced that the above statement is also valid for factors of type III<sub>1</sub>.

### 1. The cone $Q_{\varepsilon}$ .

Let  $(M, H, P^{\natural})$  be a  $\sigma$ -finite von Neumann algebra on standard form. For each cyclic and separating vector  $\xi \in P$ , the natural cone can be recovered from  $\xi$ , by the formula

$$P^{\natural} = (\Delta_{\xi}^{\frac{1}{4}} M_{+} \xi)^{-},$$

where  $\Delta_{\xi}$  is the modular operator associated with  $\xi$ . The cone  $P^{\natural}$  induces a partial ordering  $\leq$  of the real Hilbert space  $H^{\natural} = \{\zeta \mid J\zeta = \zeta\}$ . When  $\xi_1, \xi_2 \in H^{\natural}$  and  $\xi_1 \leq \xi_2$ , we let  $[\xi_1, \xi_2]$  denote the set

$$[\xi_1, \xi_2] = \{ \eta \in H^{\natural} \mid \xi_1 \leq \eta \leq \xi_2 \}.$$

Since J coincides with the unitary involution  $J_{\xi}$  obtained from  $\xi$ , we have

$$J\Delta_{\xi}^{\frac{1}{2}}a\xi = a^{*}\xi, \quad a \in M.$$

Consider now the cone  $Q_{\xi} = \frac{1}{2}(1+J)P_{\xi}^{\sharp}$ . Clearly  $Q_{\xi} \equiv H^{\natural}$ . Since  $\Delta_{\xi}^{\frac{1}{\xi}}$  and J coincide on  $P_{\xi}^{\sharp} = \{a\xi \mid a \in M_{+}\}^{-}$ , the map  $\frac{1}{2}(1+J)$  of  $P_{\xi}^{\sharp}$  onto  $Q_{\xi}$ , is bounded and has bounded inverse. Since  $P_{\xi}^{\sharp}$  is closed, it follows that  $Q_{\xi}$  is complete, and hence closed in  $H^{\natural}$ . Using that  $J\xi = \xi$ , one has  $(1+J)a\xi = (a+JaJ)\xi$ ,  $a \in M_{+}$ . Therefore

(\*) 
$$Q_{\xi} = \{(a+JaJ)\xi \mid a \in M_{+}\}^{-}.$$

For any cone K in  $H^{\natural}$  we put  $K^{\circ} = \{ \eta \in H^{\natural} \mid (\eta \mid \xi) \geq 0, \forall \xi \in K \}$ . From the Hahn-Banach Theorem one gets easily  $K^{\circ \circ} = \overline{K}$ . By (\*) it follows that for  $\eta \in H^{\natural}$ 

$$\begin{split} \eta \in Q_{\xi}^{\circ} & \Leftrightarrow \left( (a+JaJ)\xi \,|\, \eta \right) \, \geqq \, 0, \quad \, \forall \, a \in M_{+} \\ & \Leftrightarrow \left( a\xi \,|\, \eta \right) + (\eta \,|\, a\xi) \, \geqq \, 0, \quad \, \forall \, a \in M_{+} \\ & \Leftrightarrow \, \omega_{\xi\eta} + \omega_{\eta\xi} \, \geqq \, 0 \; . \end{split}$$

Hence we have the following characterization of  $Q_{\varepsilon}^{\circ}$ :

$$Q_{\xi}^{\circ} = \{ \eta \in H \mid \omega_{\xi_{\eta}} + \omega_{\eta \xi} \geq 0 \}.$$

Proposition 1.1.

- 1)  $Q_{\xi}$  is the closed cone generated by  $(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})[0, \xi]$ . 2)  $Q_{\xi}^{\circ}$  is the closure of  $(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}P^{\natural}$ .
- 3)  $Q_{\varepsilon}^{\circ} \subseteq P^{\natural} \subseteq Q_{\varepsilon}$ .

PROOF. 1) The map  $a \to \Delta_{\xi}^{\frac{1}{2}}(a\xi)$  is a bijection of  $\{a \in M_+ \mid 0 \le a \le 1\}$  onto [0,  $\xi$ ] (cf. [2,  $\S$  3]). In particular [0,  $\xi$ ] is contained in  $D(\Delta_{\xi}^{-1})$ , and since  $J\Delta_{\xi}^{\frac{1}{2}}J$  $=\Delta_{\xi}^{-\frac{1}{4}}$  we have also  $[0,\xi]\subseteq D(\Delta_{\xi}^{+\frac{1}{4}})$ .

For  $a \in M_{\perp}$ :

$$(a+JaJ)\xi = (1+\Delta^{\frac{1}{2}})a\xi = (\Delta^{\frac{1}{2}}+\Delta^{-\frac{1}{4}})\Delta^{\frac{1}{2}}a\xi$$
.

Hence

$$\{(a+JaJ)\xi \mid a \in M_+\} = \bigcup_{\lambda>0} \lambda(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})[0,\xi].$$

This proves 1).

2) Note first that  $(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}$  is bounded and everywhere defined. Let  $\eta \in (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} P^{\natural}$ . We shall prove that  $\eta \in Q_{\xi}^{\circ}$ , i.e. that  $(\eta \mid \zeta) \ge 0$  for all  $\zeta \in Q_{\xi}$ . By 1) it is enough to consider  $\zeta \in (\Delta_{\xi}^{\frac{1}{2}} + \Delta_{\xi}^{-\frac{1}{2}})[0, \xi]$ . However, in this case

$$(\eta \mid \zeta) = ((\Delta_{\varepsilon}^{\frac{1}{4}} + \Delta_{\varepsilon}^{-\frac{1}{4}})\eta \mid (\Delta_{\varepsilon}^{\frac{1}{4}} + \Delta_{\varepsilon}^{-\frac{1}{4}})^{-1}\zeta) \geq 0,$$

because both sides in the last inner product belong to the selfdual cone  $P^{\natural}$ . Hence  $((\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}P^{\natural})^{-} \subseteq Q_{\xi}^{\circ}$ . To prove the converse inclusion, put K = $(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}P^{\natural}$  and assume  $\eta \in K^{\circ}$ .

For any  $\zeta \in P^{\natural}$ :

$$\left( (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} \eta \, | \, \zeta \right) \, = \, \left( \eta \, | \, (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} \zeta \right) \, \geqq \, 0 \; .$$

Hence  $(\Delta_{\xi}^{\frac{1}{2}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} \eta \in (P^{\natural})^{\circ} = P^{\natural}$ . Since  $\Delta_{\xi}^{-\frac{1}{4}}(P_{\xi}^{\flat}) \subseteq P^{\natural}$  one has for every  $\zeta \in P^{\flat}$ , that

$$((1 + \Delta_{\xi}^{\frac{1}{2}})^{-1}\eta \,|\, \zeta) \,=\, ((\Delta_{\xi}^{\frac{1}{2}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}\eta \,|\, \Delta_{\xi}^{-\frac{1}{4}}\zeta) \,\geq\, 0 \;.$$

Thus by [7, Lemma 15.2],  $(1 + \Delta_{\xi}^{\frac{1}{2}})^{-1} \eta \in P_{\xi}^{\sharp}$  or equivalently  $\eta \in (1 + \Delta_{\xi}^{\frac{1}{2}}) P_{\xi}^{\sharp} = Q_{\xi}$ . This proves that  $K^{\circ} \subseteq Q_{\varepsilon}$ , and hence  $Q_{\varepsilon}^{\circ} \subseteq K^{\circ \circ} = \overline{K}$ .

3) For any  $\alpha > 0$  the function  $f(x) = 1/\cosh(\alpha x)$  is positive definite. In fact

$$\frac{1}{\cosh{(\alpha x)}} = \frac{1}{2\alpha} \int_{-\infty}^{\infty} e^{ixt} \cosh{\left(\frac{\pi t}{2\alpha}\right)^{-1}} dt.$$

By spectral theory we can replace x by the selfadjoint operator  $\log \Delta_z$ . Putting  $\alpha = \frac{1}{4}$  we get

$$(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} = \int_{-\infty}^{\infty} \Delta_{\xi}^{it} \cosh(2\pi t)^{-1} dt$$
.

As  $\Delta_{\xi}^{it}P^{\sharp} = P^{\sharp}$ ,  $t \in \mathbb{R}$  it follows that  $(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}P^{\sharp} \subseteq P^{\sharp}$ . Hence by 2)  $Q_{\xi}^{\circ} \subseteq P^{\sharp}$ . Taking the dual cones we get  $P^{\sharp} \subseteq Q_{\xi}$ .

For each projection  $p \in M$ ,  $F_p = p(JpJ)P^{\natural}$  is a closed face in  $P^{\natural}$ . Moreover each closed face in  $P^{\natural}$  is of the form  $F_p$  (cf. [2, Theorem 4.2]). Put  $\varphi = \omega_{\xi}$  (on M). Then for any projection p in M and  $t \in \mathbb{R}$ :

$$\begin{split} F_{\sigma_{\xi}^{\varphi}(p)} &= \varDelta_{\xi}^{it} p \varDelta_{\xi}^{-it} J \varDelta_{\xi}^{it} p \varDelta_{\xi}^{-it} J P^{\natural} &= \varDelta_{\xi}^{it} p (J p J) P^{\natural} \\ &= \varDelta_{\xi}^{it} F_{p} \,. \end{split}$$

In particular the face  $F_p$  is  $\Delta_{\xi}^{it}$ -invariant if and only if p belongs to the centralizer  $M_{\omega}$  of  $\varphi$ .

PROPOSITION 1.2. Let F be a closed face in  $P^{\natural}$ . The following conditions are equivalent:

- 1) F is  $\Delta_{\varepsilon}^{it}$ -invariant.
- 2) There exists a vector  $\eta \in Q_{\xi}^{\circ}$ , such that  $F = \{ \xi \in P^{\sharp} \mid (\xi \mid \eta) = 0 \}$ .

PROOF. 1)  $\Rightarrow$  2): Let  $p \in M$  be the  $\sigma_t^{\varphi}$ -invariant projection in M, for which  $F = F_p$ . Put  $\eta = (1-p)J(1-p)J\xi$ . Clearly  $\Delta_{\xi}^{it}\eta = \eta$ ,  $t \in \mathbb{R}$ . Thus  $\eta = 2(\Delta_{\xi}^{\frac{1}{\xi}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}\eta$ , which proves that  $\eta \in (\Delta_{\xi}^{\frac{1}{\xi}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}P^{\natural} \subseteq Q_{\xi}^{\circ}$ . Since  $\xi$  is cyclic and separating for M, the face in  $P^{\natural}$  generated by  $\xi$  is dense in  $P^{\natural}$ . Therefore the face in  $P^{\natural}$  generated by  $\eta = (1-p)J(1-p)J\xi$  is dense in  $F_{1-p} = (1-p)J(1-p)JP^{\natural}$ . Hence

$$\{\zeta \in P^{\natural} \mid (\zeta \mid \eta) = 0\} = \{\zeta \in P^{\natural} \mid (\zeta \mid \eta') = 0, \ \forall \ \eta' \in F_{1-p}\} = F_p = F$$
(cf. [2, § 4]).

2)  $\Rightarrow$  1): Let  $\eta \in Q_{\xi}^{\circ}$ , and put  $F = \{ \zeta \in P^{\mathfrak{q}} \mid (\zeta \mid \eta) = 0 \}$ . We shall prove that F is  $\Delta_{\xi}^{i}$ -invariant. Consider the operator

$$T = 1 + 2(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}$$

Clearly  $T(P^{\natural}) \subseteq P^{\natural}$ . Since  $1 \subseteq T \subseteq 2$ ,  $T(P^{\natural})$  is a closed subset of  $P^{\natural}$ . We will show that  $Q_{\xi}^{\circ} \subseteq T(P^{\natural})$ . By Proposition 1.1, (2) it is enough to show that every  $\zeta \in Q_{\xi}^{\circ}$  of the form  $\zeta = (A_{\xi}^{\frac{1}{2}} + A_{\xi}^{-\frac{1}{4}})^{-1} \zeta'$ ,  $\zeta' \in P^{\natural}$ , is in  $T(P^{\natural})$ .

However,

$$T^{-1}\zeta = (1 + 2(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1})^{-1}(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}\zeta',$$
  
=  $(2 + \Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}\zeta' = (\Delta_{\xi}^{\frac{1}{8}} + \Delta_{\xi}^{-\frac{1}{8}})^{-2}\zeta'.$ 

Since  $1/\cosh\left(\frac{1}{8}x\right)$  is a positive definite function on  $\mathbb{R}$ , we conclude as in the proof of Proposition 1.1 (3), that  $(\Delta_{\xi}^{\frac{1}{8}} + \Delta_{\xi}^{-\frac{1}{8}})^{-1}P^{\natural} \subseteq P^{\natural}$ . Therefore  $T^{-1}\zeta \in P^{\natural}$ , or  $\zeta \in T(P^{\natural})$ . Hence we have proved that  $Q_{\zeta}^{\circ} \subseteq T(P^{\natural})$ . In particular  $\eta \in T(P^{\natural})$ . Put now  $\eta' = T^{-1}\eta \in P^{\natural}$ . Since

$$T = 1 + 2(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} = 1 + 2 \int_{-\infty}^{\infty} \Delta_{\xi}^{it} \frac{dt}{\cosh(2\pi t)},$$

we get that for any  $\zeta \in F$ :

$$(\zeta \mid \eta') + 2 \int_{-\infty}^{\infty} (\zeta \mid \Delta_{\xi}^{it} \eta') \frac{dt}{\cosh(2\pi t)} = (\zeta \mid \eta) = 0.$$

However  $(\zeta \mid \Delta_{\xi}^{it} \eta') \ge 0$ , because  $\Delta_{\xi}^{it} \eta' \in P^{\natural}$ . Therefore  $(\zeta \mid \Delta_{\xi}^{it} \eta') = 0$ ,  $t \in \mathbb{R}$ . Let  $s \in \mathbb{R}$ . Then

$$(\Delta_{\xi}^{is\zeta}|\eta) = (\Delta_{\xi}^{is\zeta}|\eta') + 2\int_{-\infty}^{\infty} (\Delta_{\xi}^{is\zeta}|\Delta_{\xi}^{it}\eta') \frac{dt}{\cosh 2\pi t}$$
$$= (\zeta|\Delta_{\xi}^{-is}\eta') + 2\int_{-\infty}^{\infty} (\zeta|\Delta_{\xi}^{i(t-s)}\eta') \frac{dt}{\cosh (2\pi t)}$$
$$= 0$$

Hence  $\zeta \in F \Rightarrow \Delta_{\xi}^{is} \zeta \in F$  for all  $s \in \mathbb{R}$ , i.e. F is  $\Delta_{\xi}^{is}$ -invariant.

COROLLARY 1.3. If  $Q_{\varepsilon} = P^{\natural}$ , then  $\varphi = \omega_{\varepsilon}$  is a trace on M.

PROOF. Any closed face F in  $P^{\natural}$  is of the form

$$F = \{ \zeta \in P^{\mathfrak{p}} \mid (\zeta \mid \eta) = 0 \}$$

for some  $\eta \in P^{\natural}$ . Indeed if  $F = F_p$  one can use  $\eta = (1 - p)J(1 - p)J\xi$  (cf. proof of 1)  $\Rightarrow$  2) in Proposition 1.2). Hence if  $Q_{\xi} = P^{\natural}$ , we get by Proposition 1.2, that every face in  $P^{\natural}$  is  $\Delta_{\xi}^{it}$ -invariant, or equivalently, every projection in M is  $\sigma_{\xi}^{\rho}$ -invariant. Hence  $\sigma_{\xi}^{\rho}$  is the identity on M.

COROLLARY 1.4. Let  $\xi$  and  $\eta$  be two cyclic and separating vectors in  $P^{\natural}$ . If  $Q_{\xi} = Q_{\eta}$  the centralizers of  $\varphi = \omega_{\xi}$  and  $\psi = \omega_{\eta}$  coincide.

PROOF. By Proposition 1.2,  $Q_{\xi} = Q_{\eta}$  implies that a closed face F in  $P^{\natural}$  is  $\Delta_{\xi}^{it}$ -invariant iff it is  $\Delta_{\eta}^{it}$ -invariant. Hence the centralizers  $M_{\varphi}$  and  $M_{\psi}$  for  $\omega_{\xi}$  and  $\omega_{\eta}$  must have the same projections, i.e.  $M_{\varphi} = M_{\psi}$ .

## 2. The equation $Q_{\varepsilon} = Q_n$ .

Consider a  $\sigma$ -finite factor M on standard form, and let  $\xi, \eta \in P^{\natural}$  be cyclic and separating vectors for M. In [6, Lemma 3.3 and Lemma 3.4] it is proved that

- 1)  $P_{\eta}^* = P_{\xi}^* \Leftrightarrow \eta = \lambda \xi \text{ for a } \lambda \in \mathbb{R}_+,$
- 2)  $P_n^{\sharp} = P_n^{\flat} \Leftrightarrow M$  is finite, and  $\eta = \lambda \xi^{-1}$  for a  $\lambda \in \mathbb{R}_+$ .

(The interpretation of the equation  $\eta = \lambda \xi^{-1}$  was clarified in the introduction.)

Since the projected images of  $P_{\xi}^*$  and  $P_{\xi}^{\flat}$  on  $H^{\natural}$  both are equal to  $Q_{\xi}$ , the "ifpart" of the following Theorem is immediate:

THEOREM 2.1. Let M be a factor not of type III<sub>1</sub>, and let  $\xi, \eta \in P^{\sharp}$  be cyclic and separating vectors for M. Then  $Q_{\varepsilon} = Q_{\eta}$  if and only if, either

1) 
$$\eta = \lambda \xi$$
 for  $a \lambda \in \mathbb{R}_+$ ,

or

2) M is finite, and  $\eta = \lambda \xi^{-1}$  for a  $\lambda \in \mathbb{R}_+$ .

To prove the "only if-part" we need a series of lemmas. In the following M denotes (unless specified) an arbitrary  $\sigma$ -finite von Neumann algebra.

Lemma 2.2. Let  $\xi, \eta \in P^{\natural}$  be cyclic and separating vectors for M. If  $\varphi = \omega_{\xi}$  and  $\psi = \omega_{\eta}$  commute then

- 1)  $\Delta_{\xi}^{it} \eta = \eta$  and  $\Delta_{\eta}^{it} \xi = \xi$ ,  $t \in \mathbb{R}$
- 2)  $\Delta_{\varepsilon}^{is} \Delta_{n}^{it} = \Delta_{n}^{is} \Delta_{\varepsilon}^{it}$ ,  $s, t \in \mathbb{R}$ .

PROOF. 1) By definition  $\varphi$  and  $\psi$  commute iff  $\psi$  is  $\sigma_t^{\varphi}$ -invariant, or equivalently,  $\varphi$  is  $\sigma_t^{\psi}$ -invariant (cf. [5]). Hence, when  $\varphi$  and  $\psi$  commute,  $\eta$  and  $\Delta_{\xi}^{it}\eta$  induce the same vector-functional on M. As both vectors are in  $P^{\natural}$  it follows that  $\Delta_{\xi}^{it}\eta = \eta$ ,  $t \in \mathbb{R}$  [2, Theorem 2.7 (f)]. Similarly  $\Delta_{\eta}^{it}\xi = \xi$ ,  $t \in \mathbb{R}$ . 2) When  $\varphi$  and  $\psi$  commute,  $\sigma^{\varphi}$  and  $\sigma^{\psi}$  are commuting automorphism groups. Since by 1)  $\xi$  is both  $\Delta_{\xi}^{is}$ - and  $\Delta_{\eta}^{it}$ -invariant, we have for  $x \in M$ , that

$$\varDelta_{\xi}^{is}\varDelta_{\eta}^{it}x\xi \; = \; \sigma_{s}^{\varphi}\circ\sigma_{t}^{\psi}(x)\xi \; = \; \sigma_{t}^{\psi}\circ\sigma_{s}^{\varphi}(x)\xi \; = \; \varDelta_{\eta}^{it}\varDelta_{\xi}^{is}x\xi \; .$$

This proves 2), because  $\xi$  is cyclic for M.

LEMMA 2.3. Let  $\xi, \eta \in P^{\natural}$  be cyclic and separating for M, such that  $\varphi = \omega_{\xi}$  and  $\psi = \omega_{\eta}$  commute. If  $Q_{\xi} = Q_{\eta}$  then

$$(\varDelta_{\eta}^{\frac{1}{4}} + \varDelta_{\eta}^{-\frac{1}{4}})^{-1} (\varDelta_{\xi}^{\frac{1}{4}} + \varDelta_{\xi}^{-\frac{1}{4}})[0, \xi] \; = \; [0, \xi] \; .$$

PROOF. By proposition 1.1 (1),  $Q_{\xi}$  is the closure of  $\bigcup_{\lambda>0} \lambda (\Delta_{\xi}^{\frac{1}{2}} + \Delta_{\xi}^{-\frac{1}{2}})[0, \xi] .$ 

Therefore

$$(4) \qquad (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} Q_{\xi} \subseteq \left(\bigcup_{\lambda > 0} \lambda[0, \xi]\right)^{-} \subseteq P^{\natural}.$$

Similarly

$$(\Delta_n^{\frac{1}{4}} + \Delta_n^{-\frac{1}{4}})^{-1} Q_n \subseteq P^{\natural}.$$

Thus, when  $Q_{\xi} = Q_n$ 

$$(2^{\frac{1}{\eta}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1} (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})[0, \xi] \subseteq (\Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1} Q_{\xi} \subseteq P^{\sharp}.$$

Since  $\xi$  is both  $\Delta_{\xi}^{it}$  and  $\Delta_{\eta}^{it}$ -invariant by Lemma 2.2, we have

$$(2^{\frac{1}{\eta}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1} (\Delta_{\xi}^{\frac{1}{\xi}} + \Delta_{\xi}^{-\frac{1}{4}}) \xi = (\Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1} 2\xi = \xi.$$

Put now

$$A = (\Delta_n^{\frac{1}{4}} + \Delta_n^{-\frac{1}{4}})^{-1} (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}}).$$

From (\*\*) and (\*\*\*) it follows, that if  $\zeta \in [0, \xi]$ , then  $A\zeta \in P^{\natural}$  and  $\xi - A\zeta = A(\xi - \zeta) \in P^{\natural}$ . Hence  $A([0, \xi]) \subseteq [0, \xi]$ . We are going to show, that A maps  $[0, \xi]$  onto  $[0, \xi]$ . Let  $\zeta \in [0, \xi]$ . Put

$$f_n(x) = \exp\left(-\frac{x^2}{2n^2}\right), \quad n \in \mathbb{N},$$

and put

$$\zeta_n = f_n(\log \Delta_n)\zeta.$$

Then clearly  $\|\zeta_n - \zeta\| \to 0$  for  $n \to \infty$ . Moreover by spectral theory one gets

$$\zeta_n \in D(\Delta_\eta^{\frac{1}{4}}) \cap D(\Delta_\eta^{-\frac{1}{4}}), \quad n \in \mathbb{N} .$$

Since

$$f_n(\log \Delta_{\eta}) = \frac{n}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \exp\left(-\frac{n^2 t^2}{2}\right) \Delta_{\eta}^{it} dt ,$$

 $f_n(\log \Delta_\eta)$  maps  $P^{\natural}$  into itself. Since  $\Delta_\eta \xi = \xi$ , we have  $f_n(\log \Delta_\eta) \xi = f_n(0) \xi = \xi$ . Therefore both  $\zeta_n = f_n(\log \Delta_\eta) \xi$  and  $\xi - \zeta_n = f_n(\log \Delta_\eta) (\zeta - \xi)$  belong to  $P^{\natural}$  that is  $\zeta_n \in [0, \xi]$ .

For  $\zeta' \in P^{\natural}$  we have

$$\left( \left( \Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}} \right) \zeta_{n} | \left( \Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}} \right)^{-1} \zeta' \right) = (\zeta_{n} | \zeta') \geq 0.$$

Thus by lemma 1.1 (2)

$$(\Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}})\zeta_{n} \in (Q_{\eta}^{\circ})^{\circ} = Q_{\eta} = Q_{\xi}.$$

Using (\*) we obtain

$$A^{-1}\zeta_n = (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}(\Delta_n^{\frac{1}{4}} + \Delta_n^{-\frac{1}{4}})\zeta_n \in P^{\sharp}.$$

The same arguments applied to  $\xi - \zeta_n$  gives

$$\xi - A^{-1}\zeta_n = A^{-1}(\xi - \zeta_n) \in P^{\natural}.$$

Hence  $A^{-1}\zeta_n \in [0, \xi]$ , or  $\zeta_n \in A([0, \xi])$ ,  $n \in \mathbb{N}$ . This shows that  $A([0, \xi])$  is norm dense in  $[0, \xi]$ . However, by the proof of Proposition 1.1 (1)

$$(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})[0, \xi] = \{(a + JaJ)\xi \mid a \in M, 0 \le a \le 1\}.$$

Therefore this set is weakly compact in H. This implies that

$$A([0,\xi]) = (\Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1} (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})[0,\xi]$$

is also weakly compact in H. In particular  $A([0, \xi])$  is normclosed. Thus  $A([0, \xi]) = [0, \xi]$ .

Lemma 2.4. Let  $\xi, \eta \in P^{\natural}$  be cyclic and separating vectors for M such that  $\varphi = \omega_{\xi}$  and  $\psi = \omega_{\eta}$  commute. If  $Q_{\xi} = Q_{\eta}$  then  $\Delta_{\xi}^{\frac{1}{\xi}} + \Delta_{\xi}^{-\frac{1}{4}} = \Delta_{\eta}^{\frac{1}{\eta}} + \Delta_{\eta}^{-\frac{1}{4}}$ .

PROOF. Following [2, §1] a positive hermitian form s on M is called selfpolar, if the set of functionals  $s(\cdot, y)$ ,  $y \in M_+$ , is a face in  $M_+^*$ . By [2, Theorem 1.3], there is only one selfpolar form  $s_{\varphi}$  on M, such that  $s_{\varphi}(x, 1) = \varphi(x)$ ,  $x \in M$ , namely

$$s_{n}(x, y) = (\Delta^{\frac{1}{4}} x \xi | \Delta^{\frac{1}{4}} y \xi).$$

Put now  $A = (\Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1} (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})$  as in the preceding lemma. By lemma 2.2 (2) the spectral projections of  $\Delta_{\xi}$  and  $\Delta_{\eta}$  commute. Therefore A is closable and its closure is again a positive selfadjoint operator. Put now

$$s'(x,y) = (\Delta^{\frac{1}{2}} x \xi \mid A \Delta^{\frac{1}{2}} y \xi), \quad x,y \in M.$$

Then s' is well-defined, because  $M\xi \subseteq D(\Delta_{\xi}^{\frac{1}{2}})$ , and s' is a positive hermitian form on M. Using

$$\Delta_{\xi}^{\frac{1}{4}}M_{+}\xi = \bigcup_{\lambda>0} \lambda[0,\xi] ,$$

we get by lemma 2.3, that also

$$A\Delta_{\xi}^{\frac{1}{4}}M_{+}\xi = \bigcup_{\lambda>0} [0,\xi].$$

Thus the set of functionals  $x \to s_{\varphi}(x, y)$ ,  $y \in M_+$  is the same as the set of functionals  $x \to s'(x, y)$ ,  $y \in M_+$ . However, the first of these sets is a face in

 $M_{+}^{*}$ . Therefore the set of functionals s'(.,y),  $y \in M_{+}$ , is also a face in  $M_{+}^{*}$ , that is s' is selfpolar. Since  $A\xi = \xi$ , we have

$$s'(x,1) = (\Delta^{\frac{1}{2}} x \xi | \xi) = (x \xi | \xi) = \varphi(x), \quad x \in M.$$

Therefore  $s' = s_{\infty}$ . Thus we have proved that

$$(\Delta^{\frac{1}{2}}_{\xi}x\xi \mid A\Delta^{\frac{1}{2}}_{\xi}y\xi) = (\Delta^{\frac{1}{2}}_{\xi}x\xi \mid \Delta^{\frac{1}{2}}_{\xi}y\xi), \quad x, y \in M.$$

Since  $\Delta_{\xi}^{\frac{1}{2}}M\xi$  is dense in H, and since A is closable, we have  $\bar{A}=1$ . Therefore

$$(\Delta_n^{\frac{1}{4}} + \Delta_n^{-\frac{1}{4}})^{-1} = A(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} = (\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1}.$$

This proves the assertion.

We are now going to apply the following recent result of Thaheem and Vanheeswijck:

LEMMA 2.5 [9, Theorem 3.8]. Let  $\alpha_t$  and  $\beta_t$  be two strongly continuous one parameter groups of automorphisms on a von Neumann algebra M, such that

$$\alpha_t + \alpha_{-t} = \beta_t + \beta_{-t}, \quad t \in \mathbb{R}$$

then there exists a central projection p in M, such that  $\alpha_t = \beta_t$  on pM, and  $\alpha_t = \beta_{-t}$  on (1-p)M.

The proof of the above result relies on Arvesons theory on spectral subspaces, and is rather technical. However, we will only need Lemma 2.5 in the case, when  $\alpha_t$  and  $\beta_t$  commute, and under this extra assumption, a much simpler proof can be found in Thaheems Thesis [8].

Proposition 2.6. Let M be a  $\sigma$ -finite factor. Let  $\xi, \eta$  be two cyclic and separating vectors in  $P^{a}$  and let  $\varphi, \psi$  be the corresponding vector functionals on M. The following conditions are equivalent

- 1)  $Q_{\xi} = Q_n$  and  $\varphi$  commutes with  $\psi$ ,
- 2)  $\Delta_{\xi}^{it} + \Delta_{\xi}^{-it} = \Delta_{\eta}^{it} + \Delta_{\eta}^{-it}, t \in \mathbb{R},$ 3)  $\Delta_{\xi} = \Delta_{\eta} \text{ or } \Delta_{\xi} = \Delta_{\eta}^{-1},$
- 4)  $\eta = \lambda \xi$  for a  $\lambda \in \mathbb{R}_+$ , or M is finite and  $\eta = \lambda \xi^{-1}$  for a  $\lambda \in \mathbb{R}_+$ .

PROOF. 1)  $\Rightarrow$  2) By Lemma 2.4, 1) implies that  $\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}} = \Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}}$ . Hence  $f(\log \Delta_{\varepsilon}) = f(\log \Delta_n)$  where  $f(x) = \cosh(\frac{1}{4}x)$ . Since any even function h on R can be written in the form  $h = g \circ f$  for some function g on  $[1, \infty)$ , it follows that

$$h(\log \Delta_{\xi}) = h(\log \Delta_{\eta})$$

for any even Borel function h on R. Putting  $h(x) = \cos(xt)$  we get 2).

2)  $\Rightarrow$  1) By the proof of Proposition 1.1(3) we have

$$(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} = \int_{-\infty}^{\infty} \Delta_{\xi}^{it} \cosh(2\pi t)^{-1} dt$$

$$= \frac{1}{2} \int_{-\infty}^{\infty} (\Delta_{\xi}^{it} + \Delta_{\xi}^{-it}) \cosh(2\pi t)^{-1} dt .$$

Hence 2) implies that  $(\Delta_{\xi}^{\frac{1}{4}} + \Delta_{\xi}^{-\frac{1}{4}})^{-1} = (\Delta_{\eta}^{\frac{1}{4}} + \Delta_{\eta}^{-\frac{1}{4}})^{-1}$ . Thus by Proposition 1.1(2) it follows that  $Q_{\xi}^{\circ} = Q_{\eta}^{\circ}$ , or equivalently  $Q_{\xi} = Q_{\eta}$ . Moreover

$$\|\Delta_{\eta}^{it}\xi - \xi\|^{2} = ((2 - \Delta_{\eta}^{it} - \Delta_{\eta}^{-it})\xi | \xi)$$

$$= ((2 - \Delta_{\xi}^{it} - \Delta_{\xi}^{-it})\xi | \xi) = 0, \quad t \in \mathbb{R}.$$

Hence  $\xi$  is  $\Delta_{\eta}^{it}$ -invariant, which implies that  $\varphi = \omega_{\xi}$  is  $\sigma_{t}^{\psi}$ -invariant, i.e.  $\varphi$  and  $\psi$  commute.

2)  $\Rightarrow$  3) Assume 2) is valid. By the proof of 2) implies 1) we know that  $\xi$  is  $\Delta_{\eta}^{it}$ -invariant. Hence for  $x \in M$ :

$$(\sigma_t^{\psi}(x) + \sigma_{-t}^{\psi}(x))\xi = \Delta_{\eta}^{it}x\xi + \Delta_{\eta}^{-it}x\xi$$
$$= \Delta_{\varepsilon}^{it}x\xi + \Delta_{\varepsilon}^{-it}x\xi = (\sigma_{\varepsilon}^{\varphi}(x) + \sigma_{-t}^{\varphi}(x))\xi.$$

Since  $\xi$  is separating for M, it follows that

$$\sigma_t^{\psi}(x) + \sigma_{-t}^{\psi}(x) = \sigma_t^{\varphi}(x) + \sigma_{-t}^{\varphi}(x), \quad x \in M.$$

Using that M is a factor, we get by Lemma 2.5 that  $\sigma_t^{\psi} = \sigma_t^{\varphi}$ ,  $t \in \mathbb{R}$ , or  $\sigma_t^{\psi} = \sigma_{-t}^{\varphi}$ ,  $t \in \mathbb{R}$ . Since for  $x \in M$ :

$$\Delta_{\xi}^{it} x \xi = \sigma_{t}^{\varphi}(x) \xi$$
 and  $\Delta_{\psi}^{it} x \xi = \sigma_{t}^{\psi}(x) \xi$ 

it follows that  $\Delta_{\xi}^{it} = \Delta_{\eta}^{it}$ ,  $t \in \mathbb{R}$  or  $\Delta_{\xi}^{it} = \Delta_{\eta}^{-it}$ ,  $t \in \mathbb{R}$ . This proves 3). Since 3)  $\Rightarrow$  2) is trivial we have now proved (1)  $\Leftrightarrow$  (2)  $\Leftrightarrow$  (3). Finally (3)  $\Leftrightarrow$  (4) is contained in [6, Lemma 3.3 and Lemma 3.4].

To finish the proof of Theorem 2.1 we need the following lemma.

LEMMA 2.7. Let M be a factor not of type  $III_1$ . If  $\varphi$  and  $\psi$  are two positive, normal faithful functionals on M, such that  $M_{\varphi} \subseteq M_{\psi}$ , then  $\varphi$  and  $\psi$  commute.

Proof. The proof relies on the fact, that for factors not of type III<sub>1</sub>,

 $M'_{\varphi} \cap M \subseteq M_{\varphi}$  for any positive, normal faithful functional  $\varphi$  on M. Indeed, if M is a factor of type III<sub> $\lambda$ </sub>,  $\lambda \in [0,1[$  this is stated in [1, Theorem 4.2.1(a) and Theorem 5.2.1(a)]. If M is semifinite we may write  $\varphi = \tau(h \cdot)$ , where  $\tau$  is a normal, faithful, semifinite trace on M, and h is a positive operator affiliated with  $M_{\varphi}$ . Thus

$$M'_{\alpha} \cap M \subseteq \{h\}' \cap M = M_{\alpha}$$
.

It follows now as in the proof of [1, Theorem 4.2.1(b)] that  $M_{\varphi} \subseteq M_{\psi}$  implies  $\psi = \varphi(k \cdot)$ , where k is a positive selfadjoint operator affiliated with the center of  $M_{\varphi}$ . In particular  $\varphi$  and  $\psi$  commute.

REMARK. Lemma 2.7 fails of M is of type III<sub>1</sub>. In fact, in [4] there is an example of a III<sub>1</sub>-factor with a normal state  $\varphi$  such that  $M_{\varphi} = C \cdot 1$ .

END OF PROOF OF THEOREM 2.1. If  $Q_{\xi} = Q_{\eta}$  we get by Corollary 1.4 that  $M_{\varphi} = M_{\psi}$ , where  $\varphi = \omega_{\xi}$  and  $\psi = \omega_{\eta}$ . Thus when M is a factor not of type III<sub>1</sub>, we get by Lemma 2.7 that  $\varphi$  and  $\psi$  commute. Theorem 2.1 follows now from proposition 2.6 (1)  $\Leftrightarrow$  (4).

Concluding remarks. We are convinced that Theorem 2.1 is also valid in the III<sub>1</sub>-factor case. What remains to be prove is, that  $Q_{\xi} = Q_{\eta}$  implies that  $\omega_{\xi}$  and  $\omega_{\eta}$  commute. At present we have been able to show this if M admits a normal state  $\varphi_0$  (possibly different from both  $\omega_{\xi}$  and  $\omega_{\eta}$ ), such that  $M'_{\varphi_0} \cap M \subseteq M_{\varphi_0}$ . This is the case for III<sub>1</sub>-factors coming from the group-measure space construction, and in fact for all known examples of factors of type III<sub>1</sub>.

#### REFERENCES

- A. Connes, Une classification des facteurs de type III, Ann. Sci. École Norm. Sup. 6 (1973), 133– 252.
- A. Connes, Caracterisation des espace vectoriels sousjacent aux algèbres de von Neumann, Ann. Inst. Fourier (Grenoble) 24.4 (1974), 121–155.
- 3. U. Haagerup, The standard form of von Neumann algebras, Math. Scand. 37 (1975), 271-283.
- R. H. Hermann and M. Takesaki, States and automorphism groups of operator algebras, Comm. Math. Phys. 19 (1970), 142-160.
- G. K. Pedersen and M. Takesaki, The Radon Nikodym theorem for von Neumann algebras, Acta Math. 130 (1973), 53-87.
- 6. C. F. Skau, Geometric aspects of the Tomita-Takesaki theory I, Preprint, Trondheim, 1979.
- M. Takesaki, Tomita's theory of modular Hilbert algebras and its applications, Lecture Notes in Mathematics 128, Springer-Verlag, Berlin - Heidelberg - New York, 1970.

- 8. A. B. Thaheem, One parameter groups of automorphisms of von Neumann algebras, Thesis, Katholieke Universiteit Leuven, 1975.
- 9. A. B. Thaheem and L. Vanheeswijck, A completely positive map associated to a one-parameter group of \*automorphisms on a von Neumann algebra, Preprint, Katholieke Universiteit Leuven, 1978.

MATEMATISK INSTITUT ODENSE UNIVERSITET DK-5230 ODENSE M. DENMARK

AND

MATEMATISK INSTITUTT UNIVERSITETET I TRONDHEIM, NLHT 7000 TRONDHEIM NORWAY