ON A CLASS OF GOLOD HOMOMORPHISMS

EUGENE GOVER and PAOLO SALMON

Introduction.

Properties of the Poincaré series for local rings have been stated in relative form by Levin in [6] and [7] using the concept of a Golod homomorphism. In this paper we show that the natural map

$$R \to R_n = R / \sum_{i=1}^n x_i I_i$$

is a Golod homomorphism where (R, \mathfrak{m}) is a local ring, $I_1 \subseteq \ldots \subseteq I_n \subseteq \mathfrak{m}$ are ideals, and $x_1, \ldots, x_n \in \mathfrak{m}$ are such that x_1 is regular on R and each successive x_i is regular on

$$R_{i-1} = R \bigg/ \sum_{j < i} x_j I_j.$$

This includes generalizations of results obtained by Levin [7], Shamash [8], and Herzog and Steurich [4]. Rings of the form R_n were considered by Ghione and Gulliksen in [1] where an explicit formula was given for the Poincaré series over R_n of a finitely generated R-module M such that $I_nM=0$. Our result makes use of that formula.

Golod homomorphisms include Golod rings as a special case. If R is a regular local ring and α is an ideal contained in \mathfrak{m}^2 , then R/α is a Golod ring if and only if the natural map $R \to R/\alpha$ is a Golod homomorphism. Our result shows that for R regular, the rings R_n are Golod rings. It follows from [1, theorem 4] that all finite R_n -modules have rational Poincaré series.

In section 1 we fix our notation and review basic facts needed about Golod homomorphisms. The second section establishes two coefficient inequalities for Poincaré series. The first inequality, stated in Theorem 2.1, concerns the coefficients of Poincaré series defined in the setting of a local homomorphism. The second inequality, stated in Proposition 2.2, relates the coefficients of the Poincaré series for the factor ring R/(I+xJ) to those of the Poincaré series for R/I and R/J in the case where $I \subseteq J$ and X is regular on R/I. An explicit construction is then given for a resolution of R/(I+xJ) in terms of resolutions

for R/I and R/J. These results are used in the final section to show that $R \to R_n$ is a Golod homomorphism. In particular, in the special case $I_1 = \ldots = I_n = I \subseteq m$ with x_1 regular on R and x_i regular mod $(x_1, \ldots, x_{i-1})I$, $R \to R/(x_1, \ldots, x_n)I$ is a Golod homomorphism. When R is regular, $R/(x_1, \ldots, x_n)I$ is a Golod ring. These directly generalize a theorem of Levin [7, theorem 2.3] and a well-known result of Shamash [8, § 5 corollary 2]. Another example of such a homomorphism is the natural map

$$R \to R/\mathfrak{a}_1^{s_1} \cdots \mathfrak{a}_n^{s_n}$$

where the ideals a_i are generated by disjoint parts of a regular sequence, a result also obtained by Herzog and Steurich [4, Folgerung 2] using different methods.

1. Notation, Golod rings and homomorphisms.

Throughout, R denotes a noetherian local ring with maximal ideal m and residue field k = R/m. If M is a finitely generated R-module, the Betti numbers of M are the integers $b_i^R(M) = \dim_k \operatorname{Tor}_i^R(k, M)$. The Poincaré series of M over the ring R is the formal power series

$$P_M^R = \sum_{i=0}^{\infty} b_i^R(M) z^i.$$

We will use the terms augmented R-algebra and trivial Massey operation as they are defined by Gulliksen in [3] and adopt a corresponding notation. Thus, if the augmented R-algebra F has a trivial Massey operation γ , the function γ is defined on some set C of homogeneous cycles in F which represents a minimal set of generators for $\tilde{H}(F)$.

If $f: R \to R'$ is a homomorphism of local rings, we wish to define what it means for f to be a Golod homomorphism. Suppose that $f(m) \subseteq m'$, that f induces an isomorphism of residue fields $R/m \approx R'/m' = k$, and that R' becomes a finite R-module via f. Let X be a minimal algebra resolution for k over the ring R. With these hypotheses, Levin [7] proved

THEOREM 1.1. The following are equivalent:

- (a) $P_k^{R'} = P_k^R/(1-z(P_{R'}^R-1))$ and $m'H(X \otimes_R R') = 0$.
- (b) The R'algebra $X \otimes_R R'$ has a trivial Massey operation and the induced $\operatorname{Tor}^R(k,k) \to \operatorname{Tor}^{R'}(k,k)$ is injective.
- (c) $X \otimes_R R'$ has a trivial Massey operation and $\gamma(C) \subset \mathfrak{m}'(X \otimes_R R')$ where C represents a minimal set of generators for $\tilde{H}(X \otimes_R R')$.

DEFINITION. A local homomorphism $f: R \to R'$ which satisfies the hypotheses and conditions of Theorem 1.1 is called a Golod homomorphism.

Golod rings can be viewed as a special case.

DEFINITION. A local ring R is a Golod ring if its Koszul complex K_R has a trivial Massey operation.

The local ring R is a Golod ring precisely when its m-adic completion \hat{R} is a Golod ring. Since $\hat{R} = S/\alpha$ for some regular local ring (S, n) with $\alpha \subseteq n^2$, $K_{\hat{R}} = K_S \otimes_S \hat{R}$. Moreover, the Koszul complex K_S is a minimal algebra resolution for k over S. It is not hard to verify that when $K_{\hat{R}}$ has a trivial Massey operation, $\gamma(C) \subset \hat{m}K_{\hat{R}}$ where C represents a minimal set of generators for $H(K_{\hat{R}})$. Consequently, R is a Golod ring if and only if the natural map $S \to S/\alpha = \hat{R}$ is a Golod homomorphism.

2. Coefficient inequalities for Poincaré series.

Let $f: R \to R'$ be as in the hypotheses of (1.1) and suppose that M' is a finitely generated R'-module.

THEOREM 2.1. If m' $\operatorname{Tor}^{R}(k, R') = 0$ then

$$P_{M'}^{R'} \leq \frac{P_{M'}^R}{1 - z(P_{R'}^R - 1)}$$

as an inequality among the coefficients of the Poincaré series.

Proof. We must show

(1)
$$b_n^{R'}(M') + b_{n-1}^{R'}(M') \le \sum_{r=1}^n b_{n-r}^{R'}(M') \cdot b_{r-1}^{R}(R') + b_n^{R}(M')$$

for $n \ge 1$ and

(2)
$$b_0^{R'}(M') \le b_0^{R}(M')$$

where

$$P_{M'}^{R'} = \sum b_i^{R'}(M')z^i, \ P_{M'}^{R} = \sum b_i^{R}(M')z^i, \ P_{R'}^{R} = \sum b_i^{R}(R')z^i$$
.

The change of rings spectral sequence in the given situation has

$$E_{p,q}^2 = \operatorname{Tor}_p^{R'}(\operatorname{Tor}_q^R(k,R'),M') \Longrightarrow \operatorname{Tor}_{p+q}^R(k,M')$$
.

By hypothesis, each R'-module $\operatorname{Tor}_q^R(k, R')$ is in fact a k-space. Thus $E_{p,q}^2$ and all subquotients are finite vector spaces over k. We can therefore apply a counting argument similar to one given in [7, 1.3] in order to compare the various dimensions over k.

Considered over k, dim $E_{p,q}^2 = b_p^{R'}(M') \cdot b_q^R(R')$. $E_{n,0}^{r+1}$ is the kernel of d^r : $E_{n,0}^r \to E_{n-r,r-1}^r$, and setting $U_n^r = \text{image of } d^r$, we have that

$$\dim E_{n,0}^r = \dim U_n^r + \dim E_{n,0}^{r+1}$$

which upon iteration becomes

$$\dim E_{n,0}^2 = \sum_{r=2}^n \dim U_n^r + \dim E_{n,0}^\infty$$

 U_n^r is a subspace of $E_{n-r,r-1}^r$ and so

$$\dim U_n^r \leq b_{n-r}^{R'}(M') \cdot b_{r-1}^{R}(R') .$$

Since the spectral sequence converges, each $E_{p,q}^{\infty}$ is isomorphic to a subquotient of $\operatorname{Tor}_{p+q}^{R}(k,M')$ which guarantees that

$$\dim E_{n,0}^{\infty} \leq b_n^R(M')$$

for all n. Combining the last three relations,

$$\dim E_{n,0}^2 \leq \sum_{r=2}^n b_{n-r}^{R'}(M') \cdot b_{r-1}^R(R') + b_n^R(M')$$

for $n \ge 2$. When this is added to

$$b_{n-1}^{R'}(M') \leq b_{n-1}^{R'}(M') \cdot b_0^{R}(R')$$

and we observe that $b_n^{R'}(M') \le \dim E_{n,0}^2$, inequality (1) is obtained for $n \ge 2$. Inequality (1) with n = 1 and inequality (2) both follow from the observation that for n = 0, 1,

$$b_n^{R'}(M') \leq \dim E_{n,0}^2 = \dim E_{n,0}^{\infty} \leq b_n^{R}(M')$$
.

The special case of 2.1 with R regular, R' = R/I, $I \subseteq m^2$, and M' = k was established by Serre. With those same restrictions, Golod [2] showed that equality is achieved precisely when $K_{R/I}$ has a trivial Massey operation, that is, when R/I is a Golod ring. 2.1 is also an equality when $f: R \to R'$ is a strong Golod homomorphism and (0:m')M' = 0 as shown by Levin in [7, theorem 4.6].

PROPOSITION 2.2. Let $I \subseteq J$ be ideals of R and suppose that $x \in \mathfrak{m}$ is regular on R/I. Then

$$P_{R/(I+xJ)}^{R} \leq (1+z)(P_{R/I}^{R}-1)+P_{R/J}^{R}$$
.

PROOF. Let p_i , m_i , and n_i be the respective Betti numbers over R of R/(I+xJ), R/I, and R/J. To establish the inequality, we must show that $p_1 \le m_1 + n_1$ and that $p_i \le m_i + m_{i-1} + n_i$ for $i \ge 2$.

Since x is regular on R/I, the inclusion of J/I into R/I followed by multiplication by x yields a monomorphism whose image is (I + xJ)/I. Thus

$$0 \rightarrow J/I \rightarrow R/I \rightarrow R/(I+xJ) \rightarrow 0$$

is exact. From the induced homology sequence

$$\ldots \to \operatorname{Tor}_{i}^{R}(k, R/I) \to \operatorname{Tor}_{i}^{R}(k, R/(I+xJ)) \to \operatorname{Tor}_{i-1}^{R}(k, J/I) \to \ldots$$

we find that

$$p_i \leq m_i + b_{i-1}^R(J/I) .$$

In a similar manner, exactness of

$$0 \rightarrow J/I \rightarrow R/I \rightarrow R/J \rightarrow 0$$

shows that

$$b_{i-1}^R(J/I) \leq m_{i-1} + n_i.$$

When combined, the two inequalities give the desired relationship for $i \ge 2$. The inequality for i = 1 follows after observing that $b_0^R(J/I) \le b_0^R(J) = b_1^R(R/J) = n_1$.

The inequality of Proposition 2.2 can also be obtained by constructing an R-free resolution of R/(I+xJ) from resolutions of R/I and R/J.

PROPOSITION 2.3. Let $I \subseteq J$ be ideals of R and suppose that $x \in \mathfrak{m}$ is regular on R/I. Let L and L' be R-free resolutions of R/I and R/J respectively. Then there exists an R-free resolution L'' of R/(I+xJ) with $L''_1 = L_1 \oplus L'_1$ and $L''_i = L_i \oplus L_{i-1} \oplus L'_i$ for i > 1.

PROOF. The complex L'' will turn out to be a two stage mapping cone. First, since $I \subseteq J$, the identity map on $R = L_0 = L'_0$ lifts to a chain map $\psi \colon L \to L'$. If $f_I \colon L_1 \to I$ denotes the boundary map $d_1 \colon L_1 \to R$ when considered onto its image and if \tilde{L} is the suspension complex of L (i.e., $\tilde{L}_i = L_{i+1}$ and $\tilde{d}_i = d_{i+1}$), then (\tilde{L}, f_I) is an R-free resolution of I. Let \tilde{L}' be defined analogously so as to produce an R-free resolution of I. Then $\tilde{\psi} \colon \tilde{L} \to \tilde{L}'$ with $\tilde{\psi}_i = \psi_{i+1}$ is a chain map lifting the inclusion of I into J.

The mapping cone $M(\tilde{\psi})$ is acyclic. This can be seen by considering the exact homology sequence

$$\ldots \to H_i(\tilde{L}') \to H_i(M(\tilde{\psi})) \to H_{i-1}(\tilde{L}) \xrightarrow{\tilde{\psi}_*} H_{i-1}(\tilde{L}') \to \ldots$$

Since $H_i(\tilde{L}') = H_{i+1}(L')$ and $H_{i-1}(\tilde{L}) = H_i(L)$ for i > 1, $H_i(M(\tilde{\psi})) = 0$ for i > 1. When i = 1, the relevant part of the sequence is

$$H_1(\tilde{L}') = 0 \to H_1(M(\tilde{\psi})) \to I \hookrightarrow J$$

with the right-hand map an inclusion. It follows that $H_1(M(\tilde{\psi})) = 0$.

The complex $M(\widetilde{\psi})$ has $M_0(\widetilde{\psi}) = L_1'$, $M_i(\widetilde{\psi}) = \widetilde{L}_{i-1} \oplus \widetilde{L}_i' = L_i \oplus L_{i+1}'$ for i > 0, and boundary maps δ_i defined by $\delta_1(y_1, y_2') = \psi y_1 + d' y_2'$ and $\delta_i(y_i, y_{i+1}') = (-dy_i, \psi y_i + d'y_{i+1}')$ for i > 1.

We begin to construct a chain map $\varphi: M(\tilde{\psi}) \to L$ by first defining $\varphi_0 = xd_1': L_1' \to R$ for any fixed $x \in m$. Since

$$\varphi_0 \delta_1(y_1, y_2') = x d_1' \psi_1 y_1 + x d_1' d_2' y_2' = x d_1 y_1,$$

we may continue the construction by setting $\varphi_1(y_1, y_2') = xy_1$. Indeed, all φ_i for $i \ge 1$ can be defined by $\varphi_i(y_i, y_{i+1}') = (-1)^{i+1}xy_i$. The mapping cone $M(\varphi)$ of this chain map is a complex over R/(I+xJ) with

$$M_0(\varphi) = R$$
, $M_1(\varphi) = L_1 \oplus M_0(\tilde{\psi}) = L_1 \oplus L'_1$, and $M_i(\varphi) = L_i \oplus M_{i-1}(\tilde{\psi}) = L_i \oplus L_{i-1} \oplus L'_i$ for $i > 1$.

 $M(\varphi)$ augmented by the natural map onto R/(I+xJ) turns out to be the desired resolution L''.

Examination of the exact homology sequence for $M(\varphi)$ shows that $H_i(M(\varphi))$ = 0 for i > 1. The last part of the sequence is

$$H_1(L) = 0 \rightarrow H_1(M(\varphi)) \rightarrow H_0(M(\tilde{\psi})) \xrightarrow{\varphi_*} H_0(L)$$

with $H_0(M(\tilde{\psi})) = L_1'/\text{Image } \delta_1$ and $H_0(L) = R/I$. We verify that φ_* is monic whenever x is regular on R/I by considering $[y'] \in H_0(M(\psi))$ such that $\varphi_*[y'] = \bar{0} \in R/I$. The representative $y' \in L_1'$ must then have $\varphi_0 y' \in I$. But $\varphi_0 y' = x d_1' y'$ and since x is regular on R/I, $d_1' y' \in I$. Hence there exists $y \in L_1$ such that $d_1 y = d_1' y'$, so

$$d_1'(y'-\psi_1y) \; = \; d_1'y'-d_1'\psi_1y \; = \; d_1'y'-d_1y \; = \; 0 \; .$$

By exactness, $y' - \psi_1 y = d'_2 y'_2$ for some $y'_2 \in L'_2$. Consequently

$$y' = \psi_1 y + d'_2 y'_2 = \delta_1(y, y'_2)$$

which says that $[y'] = [0] \in H_0(M(\tilde{\psi}))$. Therefore the map φ_* in the above exact sequence is monic. It follows that $M(\varphi)$ is acyclic and provides an R-free resolution L'' of R/(I+xJ).

Note that by virtue of the mapping cone construction, the boundary maps in

the complex $L'' = M(\varphi)$ are completely determined by the boundary maps d and d' in the respective complexes L and L' and by the chain map $\psi: L \to L'$ lifting 1_R . Specifically,

$$\begin{split} d_1'': \ L_1 \oplus L_1' &\to R \text{ is defined by } d_1''(y_1, y_1') = dy_1 + xd'y_1' \text{ ,} \\ d_2'': \ L_2 \oplus L_1 \oplus L_2' &\to L_1 \oplus L_1' \text{ is defined by } \\ d_2''(y_2, y_1, y_2') &= (d_2 y_2 + xy_1, -\psi y_1 - d'y_2'), \text{ and } \\ d_i'': \ L_i \oplus L_{i-1} \oplus L_i' &\to L_{i-1} \oplus L_{i-2} \oplus L_{i-1}' \text{ for } i \geq 3 \text{ is defined by } \\ d_i''(y_i, y_{i-1}, y_i') &= \\ (d_i y_i + (-1)^i xy_{i-1}, dy_{i-1}, -\psi y_{i-1} - d'y_i') \text{ .} \end{split}$$

If bases are picked for the free modules and if the boundary maps are defined by matrices with respect to those bases, then setting

$$A^{(i)} = [d_i], B^{(i)} = [d'_i], C^{(i)} = [\psi_i], D^{(i)} = [d''_i],$$

and E(i) = an identity matrix of the appropriate size, we have

$$D^{(1)} = \begin{bmatrix} A^{(1)} & xB^{(1)} \end{bmatrix}, \quad D^{(2)} = \begin{bmatrix} A^{(2)} & xE^{(2)} & 0 \\ 0 & -C^{(1)} & -B^{(2)} \end{bmatrix},$$
and
$$D^{(i)} = \begin{bmatrix} A^{(i)} & (-1)^{i}xE^{(i)} & 0 \\ 0 & A^{(i-1)} & 0 \\ 0 & -C^{(i-1)} & -B^{(i)} \end{bmatrix} \quad \text{for } i \ge 3.$$

Proposition 2.2 clearly follows from 2.3. The resolution L'' need not be minimal, however, even when both L and L' are minimal. L'' is minimal in particular cases. For example, if $I = \sum_{j < i} x_j I_j$ and $J = I_i$ where x_1, \ldots, x_i and I_1, \ldots, I_i satisfy the hypotheses of the next theorem 3.1 and L, L' are minimal resolutions, then L'' is a minimal resolution of

$$R/(I+xJ) = R \bigg/ \sum_{j=1}^{i} x_{j}I_{j}$$

as a consequence of 2.2 and 3.1.

3. The Golod homomorphism $R \to R_n$.

THEOREM 3.1. Let (R, m) be a local ring with ideals $I_1 \subseteq \ldots \subseteq I_n \subseteq m$ and suppose that $x_1, \ldots, x_n \in m$ satisfy: x_1 is regular on R and for each i > 1, x_i is regular on $R_{i-1} = R/\sum_{j < i} x_j I_j$. Let M be a finitely generated R-module such that $I_n M = 0$. Then

$$P_{M}^{R_{n}} = \frac{P_{M}^{R}}{1 - z(P_{R_{n}}^{R} - 1)}.$$

In particular, the natural map $R \to R_n$ is a Golod homomorphism.

PROOF. With the given hypotheses, Corollary 6 of [1] applies and shows that

$$P_M^{R_n} = \frac{P_M^R}{f_n(z)} .$$

where for $1 \le i \le n$,

$$f_i(z) = (1+z)^i - z \sum_{j < i} (1+z)^j P_{R/I_{i-j}}^R$$

= $(1+z) f_{i-1}(z) - z P_{R/I}^R$

Theorem 2.1 shows that

(2)
$$\frac{P_{M}^{R}}{f_{n}(z)} \leq \frac{P_{M}^{R}}{1 - z(P_{R}^{R} - 1)}.$$

If we establish

$$P_{R_n}^R - 1 \leq \frac{1 - f_n(z)}{z},$$

then the reverse inequality of (2) will also hold in which case equations (1) and (2) will establish the desired equation for the Poincaré series.

Inequality (3) is obtained by induction on n. When n=1,

$$P_{R_1}^R - 1 = P_{R/x_1I_1}^R - 1 = P_{R/I_1}^R - 1 = \frac{1 - f_1(z)}{z}$$
.

Assume that for $n-1 \ge 1$,

(4)
$$P_{R_{n-1}}^{R} - 1 \leq \frac{1 - f_{n-1}(z)}{z}.$$

From Proposition 2.2,

$$P_{R_n}^R = P_{R/(\sum_{i \leq n} x_i I_j + x_n I_n)}^R \leq (1+z)(P_{R_{n-1}}^R - 1) + P_{R/I_n}^R.$$

When combined with (4) this gives

$$\frac{P_{R_n}^R - P_{R/I_n}^R}{(1+z)} \le P_{R_{n-1}}^R - 1 \le \frac{1 - f_{n-1}(z)}{z}.$$

Hence

$$P_{R_n}^R \le \frac{(1+z)(1-f_{n-1}(z))+zP_{R/I_n}^R}{z}$$

from which (3) readily follows.

To show that $R \to R_n$ is a Golod homomorphism we verify (a) of 1.1. With M=k, $I_nk=0$; the above argument then establishes the appropriate formula for the Poincaré series. The remaining condition of 1.1 (a) holds since R_n is a factor ring of R from which it follows that an R_n -module is a k-space whenever it is a k-space as an R-module.

An immediate consequence of 3.1 and [1, theorem 4] is

COROLLARY 3.2. If R is regular, R_n is a Golod ring. If M is a finite R_n -module, $P_M^{R_n}$ is rational.

With n=1 in 3.1, $R \to R/xI$ is a Golod homomorphism. This is Levin's [7, theorem 2.3] for the case $I \subseteq m$ and $x \in m$ a regular element. When n=1 in 3.2 with R regular and $x \neq 0$, R/xI is a Golod ring. This is a result of Shamash [8, § 5 cor. 2]. Both of these results now generalize to the case $R/(x_1, \ldots, x_n)I$ with x_1 regular and x_i regular mod $(x_1, \ldots, x_{i-1})I$ by taking $I_1 = \ldots = I_n = I \subseteq m$. Other interesting examples of rings of the form R_n come from

COROLLARY 3.3. Let (R, m) be a local ring with ideals $\mathfrak{a}_1, \mathfrak{a}_2, \ldots, \mathfrak{a}_n$ generated by disjoint parts of a regular sequence. Suppose that n > 1 or the integer $s_1 > 1$. Then the natural map

$$R \to R/\alpha_1^{s_1} \cdots \alpha_n^{s_n}$$

is a Golod homomorphism.

Proof. We may write

$$a_1 = (x_{11}, \ldots, x_{1t_1}), \ldots, a_n = (x_{n1}, \ldots, x_{nt_n})$$

where $x_{11}, \ldots, x_{1t_1}, \ldots, x_{nt_n}$ constitutes a regular sequence. Let $\mathfrak{a} = \mathfrak{a}_1^{s_1} \cdots \mathfrak{a}_n^{s_n}$. If n = 1, set J = R; otherwise, set $J = \mathfrak{a}_2^{s_2} \cdots \mathfrak{a}_n^{s_n}$. In either case we have

$$a = x_{11}(x_{11})^{s_1-1}J + x_{12}(x_{11},x_{12})^{s_1-1}J + \ldots + x_{1n}(x_{11},\ldots,x_{1n})^{s_1-1}J.$$

If $I_i = (x_{11}, \ldots, x_{1i})^{s_1-1}J$, then $I_1 \subseteq \ldots \subseteq I_n \subset \mathfrak{m}$ and $\mathfrak{a} = \sum_{i=1}^n x_{1i}I_i$. Observe that $\sum_{j < i} x_{1j}I_j$ is an ideal generated by monomials in x_{11}, \ldots, x_{1i-1} and x_{21}, \ldots, x_{nt_n} which is a part of the regular sequence disjoint from x_{1i} . It therefore follows

from [5, lemma 3] that x_{1i} is regular on $R/\sum_{j< i} x_{1j}I_j$ and so the natural map $R \to R/\alpha$ is a Golod homomorphism by 3.1.

This corollary has been obtained independently by Herzog and Steurich [4, Folgerung 2]. Several examples found in the literature follow from it. For instance, $R \to R/(x_1, \ldots, x_n)^s$ for s > 1 is a Golod homomorphism where x_1, \ldots, x_n is a regular sequence. In particular for a field K,

$$K[[X_1,...,X_n]]/(X_1,...,X_n)^s$$

with s>1 is a Golod ring (cf. [2]). Rings of the form

$$K[[X_1,\ldots,X_4]]/(X_1,X_2)^i(X_3,X_4)^j$$

are also Golod. The simplest example of a ring satisfying the hypotheses of Theorem 3.1 but not of the type considered in 3.3 is

$$R_n = K[[X, Y, Z]]/(X, Y)(X, Z)$$

$$(R = K[[X, Y, Z]], x_1 = X, x_2 = Y, \text{ and } I_1 = I_2 = (X, Z)).$$

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DEPARTMENT OF MATHEMATICS NORTHEASTERN UNIVERSITY BOSTON, MASS. 02115 U.S.A. ISTITUTO MATEMATICO UNIVERSITÀ DI GENOVA 16132 GENOVA ITALY